

Advanced seminar AML, FinSA, FinIA

Frequency At least once a year	Format Live-Stream (Webinar) with interactive space and participation tracking	Duration 4 hours
Description	<p>The seminar aims to develop and update knowledge and practices regarding regulatory requirements on:</p> <ul style="list-style-type: none"> - Anti-Money Laundering (AML) - Rules of conduct according to FinSA and other specialized knowledge - FinIA <p>Content is addressed based on regulatory changes, new jurisprudence, experiences of the SAM and key stakeholders - such as auditors - as well as FINMA's expectations. Updating regulatory knowledge allows concerned professionals to maintain their qualifications and fulfill required continuing education.</p>	
Speakers	Attorneys in law and legal experts (SAM partners and collaborators), other finance experts.	
Learning outcomes	<p>By the end of the module, participants will:</p> <ul style="list-style-type: none"> - Know the latest regulatory changes and practices - Have feedback and recommendations from audit and supervisory actors - Be able to transfer their knowledge to their professional practice 	
Content type	<ul style="list-style-type: none"> - Theoretical knowledge (regulatory and technical) - Practical knowledge (experience sharing, case study analysis, jurisprudence) - Interaction: quiz and live Q&A session 	
Target groups	Any professional needing to maintain and update their knowledge in the covered subjects, particularly: qualified managers, wealth managers, compliance and/or risk officers, and other wealth management employees with basic knowledge.	
Prerequisites	No specific qualification required. Basic knowledge of the targeted regulatory framework. Good command of English.	
Certificate/attestation	A certificate of attendance is issued for validation purposes.	
Language	English	
Program and Documentation	A detailed program is published on the SAM website for each session. Presentation materials are provided to participants before the seminar.	
SAM Credit points	4 SAM Credit points	
Recertification CWMA (SAQ)	4 hours (SAQ)	