

Affiliation agreement

By submitting the application for affiliation as an active member to SAM and the application for affiliation to the OFS Ombudsman's Office contained therein, the affiliate undertakes to comply with Articles 1, 5, 7, 10 and 11 of the OFS affiliation rules, the rules of procedure and all other OFS regulations as well as the rules on mandatory mediation pursuant to Title 5 of the Federal Act on Financial Services. These regulations are available online on the website of the ombudsman's office, www.ombudfinance.ch. The Affiliate confirms that he/she has read the OFS affiliation rules, in particular the rules on automatic reminders, notices and terminations.

The Affiliate undertakes to inform OFS of any change in its status (change of address, company, contact, e-mail address or termination of business activity). He bears the risk of any incomplete, incorrect or illegible information.

The Affiliate understands and accepts that communication with OFS is mainly by e-mail and undertakes to respond to e-mails received from OFS. OFS shall not be liable for the consequences of changes in the Affiliate's e-mail addressing system or other changes to the Affiliate's contact details that have not been properly communicated to OFS.

The Affiliate undertakes in particular to

- a. inform its clients of the possibility of initiating mediation proceedings and to provide them with the name and address of the ombudsman's office (Art. 79 FinSA)
- b. participate in the mediation proceedings conducted by the ombudsman's office (Art. 78 FinSA);
- c. to use the OFS logo only in the form specified in the OFS affiliation rules;
- d. to pay the annual affiliation fee in accordance with the OFS affiliation rules in the event of default by SAM.

Diese Anschlussvereinbarung unterliegt dem Schweizer Recht. Alle Streitigkeiten, die sich aus dieser Vereinbarung ergeben, unterliegen der Zuständigkeit der Gerichte am Sitz der Ombudsstelle in Bern.

The affiliate is aware of the provisions contained in Art. 83 and 88 FinSA, which oblige the ombudsman's office to provide certain information to the competent supervisory authorities and/or the registration office (register of advisors), in particular information about the affiliated financial service providers and about providers or advisors whose authorization it refuses or has excluded.

The collection and processing of personal data collected during the affiliation process and its storage in the database of the affiliate is carried out by the ombudsman's office and by SAM for delegated tasks in compliance with the Data Protection Act (see Privacy Policy and data protection declaration www.ombudfinance.ch). The Associate has the rights provided for in the Data Protection Act, i.e. the right to information and rectification. OFS maintains a list of affiliates of the ombudsman's office.

This affiliation agreement is subject to Swiss law. All disputes arising from this agreement are subject to the jurisdiction of the courts at the headquarters of the ombudsman's office in Bern.